

**ORIGINAL**

PATENT APPLICATION

ATTORNEY DOCKET NO. 10014420-1

3624  
\$



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) Kemal GULER et al.

Confirmation No.: 2098

Application No.: 09/904,311

Examiner: A. L. Bashore

Filing Date: 07/11/2001

Group Art Unit: 3624

Title: JOINT ESTIMATION OF BIDDERS' RISK ATTITUDES AND PRIVATE INFORMATION

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

JUL 13 2004

**GROUP 3600**

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97 (c) together with either a:
  - ( ) Statement under 37 CFR 1.97(e), or
  - ( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- (X) under 37 CFR 1.97 (d) together with a:
  - ( ) Statement under 37 CFR 1.97(e)(1) or (2), and
  - (X) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 06/28/2004

OR

- ( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_ Number of pages: \_\_\_\_\_

Typed Name: Christina L. Paz

Signature: Christina L. Paz

Respectfully submitted,

Kemal GULER et al.

By Ellen Baker

Ellen Baker

Attorney/Agent for Applicant(s)  
Reg. No. 50,272

Date: 06/28/2004

Telephone No.: (713) 238-8000

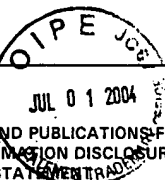
## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)



ATTY. DOCKET NO.

10014420-1

APPLICATION NO.

09/904,311

CONFIRMATION NO.

2098

APPLICANT

Kernal GULER et al.

FILING DATE

07/11/2001

GROUP

3624

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	2002/0174052	11/21/2002	Guler et al.
	1B			
	1C			
	1D			
	1E			
	1F			
	1G			
	1H			
	1I			
	1J			
	1K			

RECEIVED

JUL 13 2004

GROUP 3600

BEST AVAILABLE COPY

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L				
	1M				
	1N				
	1O				
	1P				

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Sandra Campo et al., "Semiparametric Estimation of First-Price Auctions with Risk Averse Bidders," October 2000, 35 pp.
1R	
1S	

EXAMINER

DATE CONSIDERED